

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: K. KUMAR *et al.*

Application No.: To Be Assigned

Group Art Unit: Unassigned

Filed: Concurrently herewith

Examiner: Unassigned

For: SPINAL FIXATION SYSTEM

Attorney Docket No.: 8932-825-999

**INFORMATION DISCLOSURE STATEMENT**

**Box PATENT APPLICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

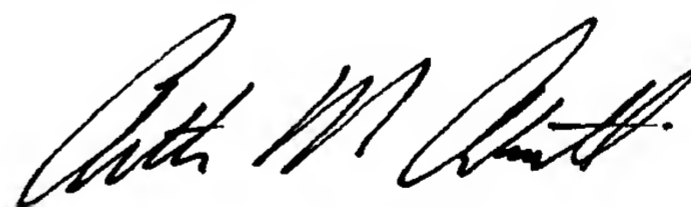
Sir:

Pursuant to Applicants' duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(h), enclosed is a List of References Cited by Applicant listing 63 references in reverse chronological order for the Examiner's convenience. These references were either cited by the Examiner or submitted in parent Application No. 09/915,572, filed July 27, 2001. Copies will be submitted upon request by the Examiner.

It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the Form PTO-1449.

No fee is believed to be due for this submission pursuant to § 1.97(b), as an initial Office Action on the merits of the above-identified application has not been issued. Should any fee be required, however, please charge such fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,



Date: August 26, 2003

For: BRIAN M. ROTHERY  
for Arthur M. Antonelli Reg. No. 51,410  
Brian M. Rothery Reg. No. 35,340  
**PENNIE & EDMONDS LLP**  
1667 K Street, N.W.  
Washington, D.C. 20006  
(202) 496-4400

Enclosure

LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTY. DOCKET NO.		APPLICATION NO.		
			8932-825-999		To Be Assigned		
			APPLICANT				
			K. KUMAR et al.				
			FILING DATE		GROUP		
			Concurrently herewith		Unassigned		
	AA	5,980,523	11/1999	Jackson	606	61	
	BB	6,248,104 B1	1/2001	Chopin et al.	606	61	
	CC	6,206,879 B1	3/2001	Marnay et al.	606	53	
	DD	5,964,760	10/1999	Richelsoph	606	61	
	EE	5,947,966	9/1999	Drewry et al.	606	61	
	FF	5,899,903	5/1999	Cotrel	606	61	
	GG	5,863,293	1/1999	Richelsoph	606	61	
	HH	5,860,893	1/1999	watterson et al.	482	54	
	II	5,810,817	9/1998	Roussouly et al.	606	61	
	JJ	5,743,911	4/1998	Cotrel	606	61	
	KK	5,741,254	4/1998	Henry et al.	606	61	
	LL	5,738,685	4/1998	Halm et al.	606	61	
	MM	5,716,355	2/1998	Jackson et al.	606	61	
	NN	5,688,274	11/1997	Errico et al.	606	61	
	OO	5,688,273	11/1997	Errico et al.	606	61	
	PP	5,683,393	11/1997	Ralph	606	61	
	QQ	5,676,665	10/1997	Bryan	606	61	
	RR	5,651,789	7/1997	Cotrel	606	61	
	SS	5,630,816	5/1997	Kambin	606	61	
	TT	5,630,814	5/1997	Ross, Jr., et al.	606	59	
	UU	5,624,442	4/1997	Mellinger et al.	606	61	
	VV	5,624,441	4/1997	Sherman et al.	606	61	
	WW	5,601,552	2/1997	Cotrel	606	61	
	XX	5,593,407	1/1997	Reis	606	61	
	YY	5,584,832	12/1996	Schläpfer	606	61	
	ZZ	5,545,167	8/1996	Lin	606	61	
	AAA	5,534,001	7/1996	Schlapfer et al.	606	61	

	BBB	5,520,689	5/1996	Schläpfer et al.	606	61	
	CCC	5,501,684	3/1996	Schlapfer et al.	606	73	
	DDD	5,498,264	3/1996	Schlapfer et al.	606	72	
	EEE	5,487,742	1/1996	Cotrel	606	61	
	FFF	5,451,225	9/1995	Ross, Jr., et al.	606	59	
	GGG	5,437,670	8/1995	Sherman et al.	606	61	
	HHH	5,423,818	6/1995	Van Hoeck et al.	606	61	
	III	5,403,316	4/1995	Ashman	606	61	
	JJJ	5,346,493	9/1994	Stahurski et al.	606	61	
	KKK	5,344,422	9/1994	Frigg	606	61	
	LLL	5,330,473	7/1994	Howland	606	61	
	MMM	5,312,402	5/1994	Schläpfer et al.	606	53	
	NNN	5,282,801	2/1994	Sherman	606	61	
	OOO	5,263,954	11/1993	Schlapfer et al.	606	61	
	PPP	5,257,993	11/1993	Asher et al.	606	61	
	QQQ	5,246,442	9/1993	Ashman et al.	606	61	
	RRR	5,047,029	9/1991	Aebi et al.	606	61	
	SSS	5,030,220	7/1991	Howland	606	61	
	TTT	5,005,562	4/1991	Cotrel	128	69	
	UUU	5,002,542	3/1991	Frigg	606	61	
	VVV	4,978,348	12/1990	Ilizarov	606	57	
	WWW	4,653,481	3/1987	Howland et al.	128	69	
	XXX	4,382,438	5/1983	Jacobs	128	69	
	YYY	3,997,138	12/1976	Crock et al.	-	-	
	ZZZ	2,439,995	4/1948	Thrailkill	128	84	

#### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AAAA	WO 99/15094	4/1999	PCT				
	BBBB	DE 197 26 754 A 1	2/1999	Germany				
	CCCC	WO 99/04717	2/1999	PCT				
	DDDD	WO 98/49960	11/1998	PCT				
	EEEE	DE 298 18 831 U 1	12/1998	Germany				X

	FFFF	EP 0 838 196 A2	4/1998	Europe				
	GGGG	2 761 256	10/1998	France				
	HHHH	2 704 133	10/1994	France				
	IIII	0 384 001 B1	12/1993	Europe				
	JJJJ	G 91 01 321.6	6/1991	Germany				
	KKKK	WO 88/01152	2/1988	PCT				

**OTHER REFERENCES** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with **MPEP 609**; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.